



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re Patent Application of: GRANT & MORGAN

For: APPARATUS AND PROCESS FOR EVALUATING SURVEY DATA

Filed: April 11, 2001

Serial No.: 09/833,199 Art Unit: 2161 Examiner: N/A

TRANSMITTAL LETTER

July 30, 2001

Honorable Commissioner of Patents and Trademarks
Patent and Trademark Office
Washington, D.C. 20231

Sir:

Transmitted for filing in the above-referenced case are:

Small Entity Declaration
Petition for Extension of Time
Response to Notice to File Missing Parts mailed 5/23/01
 Signed Oath
 Abstract
 Small Entity Declaration
 Fee
Authorization to Charge Deposit Account (2)
Certificate of Mailing
Return Postcard

Respectfully submitted,



Max Stul Oppenheimer
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(410) 494-6237

CERTIFICATE OF MAILING

I hereby certify that the above listed attached paper(s) or fee are being deposited with the U.S. Postal Service with sufficient first class postage on the date indicated above, addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,199	04/11/2001	Peter Grant	

CONFIRMATION NO. 1696

FORMALITIES LETTER



OC00000006108635

114

Date Mailed: 05/23/2001

Max Stul Oppenheimer
P. O. Box 50
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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Abstract must be on a separate sheet.

09833199

08/07/2001 BNGUYEN1 00000106 150620

01	FC:201	355.00
02	FC:205	65.00

Jones
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: PETER GRANT and GARY C. MORGAN

Serial No.: Art Unit:

Filing Date: April 11, 2001 Examiner:

#7

For: APPARATUS AND PROCESS FOR EVALUATING SURVEY DATA

STATEMENT CLAIMING SMALL ENTITY STATUS

I claim small entity status for the above application.

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

A handwritten signature in black ink, appearing to read "Gary Morgan", written over a solid horizontal line.

Dated:

A handwritten date in black ink, appearing to read "April 11 2001", written over a solid horizontal line.

Filing Date: April 11, 2001